

Reading List: Ethical, Legal, and Professional Issues in Psychology
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American Psychological Association, Practice Guidelines (18) and Statements

(Most available at <http://www.apa.org/practice/prof.html>)

Guidelines for Assessment of and Intervention with Persons with Disabilities
Guidelines for Child Custody Evaluations in Family Law Proceedings (2009)
Guidelines for Clinical Supervision in Health Service Psychology (2015)
Guidelines for the Evaluation of Dementia and Age-Related Cognitive Decline (1998)
Guidelines on Multicultural Education, Training, Research, Practice, and Organizational Change for Psychologists (2003)
Guidelines for Practice With Girls and Women (2007)
Guidelines for Practice of Parenting Coordination (1/2012)
Guidelines for Practice of Telepsychology (7/31/2013 Advanced Online Publication)
Guidelines for Prevention in Psychology (11/4/2013 Advanced Online Publication)
Guidelines for Psychological Evaluations in Child Protection Matters (2013)
Guidelines for Psychological Practice with Older Adults (2003)
Guidelines for Psychological Practice in Health Care Delivery Systems (2013)
Guidelines for Psychological Practice With Transgender and Gender Nonconforming People (2015)
Guidelines for Psychotherapy with Lesbian, Gay, and Bisexual Clients (2000)
Guidelines for Test User Qualifications (2001)
Practice Guidelines Regarding Psychologists' Involvement in Pharmacological Issues (2011)
Record Keeping Guidelines (2007) <http://www.apa.org/practice/guidelines/record-keeping.pdf>
Specialty Guidelines for Forensic Psychology (2013)

Assessment

American Educational Research Association, American Psychological Association, & National Council on Measurement in Education. (1999). *Standards for educational and psychological testing*. Washington, DC: American Psychological Association.
Eyde, L., Robertson, G., Krug, S., & the Test User Training Work Group. (1993). *Responsible test use: case studies for assessing human behavior*. Washington, DC: American Psychological Association.

Chemical Dependency

Geppert, C., & Roberts, L. (2008). *The book of ethics: Expert guidance for professionals who treat addiction*. Center City, MN: Hazelden.

Confidentiality and Mandated Reporting

Fisher, M. A. (2016). *Confidentiality limits in psychotherapy: Ethics checklists for mental health professionals*. Washington, DC: American Psychological Association.
Levin, C., Furlong, A., & O'Neil, M. (2003). *Confidentiality: Ethical perspectives and clinical dilemmas*. Hillside, NJ: The Analytic Press, Inc.
VandeCreek, L., & Knapp, S. (2001) *Tarasoff and beyond: Legal and clinical consideration in the treatment of life-endangering patients*. Sarasota, FL: Professional Resources Press.
Werth, J., Welfel, E., & Benjamin, G. (2009). *The duty to protect: Ethical, legal, and professional considerations for mental health professionals*. Washington, DC: American Psychological Association.

Ethnic and Cultural Diversity

- Pack-Brown, S., & Williams, C. (2003). *Ethics in a multicultural context*. Thousand Oaks: Sage.
- Comas-Díaz, L. (2011) *Multicultural care: A clinician's guide to cultural competence*. Washington, DC: American Psychological Association.
- Houser, R., Wilczenski, F., & Ham, M. (2006). *Culturally relevant ethical decision-making in counseling*. Thousand Oaks, CA: Sage.

Forensic and Legal Matters

- Alexander, G., & Schefflin, A. (1999). *Law and mental disorder*. Durham, NC: Carolina Academic Press.
- Annas, G. (1989). *The rights of patients: The ACLU guide to patient rights*. Carbondale: Southern Illinois University Press.
- Barsky, A., & Gould, J. (2012). *Clinicians in court: A guide to subpoenas, depositions, testifying, and everything else you need to know* (2nd ed.). New York, NY: Guilford.
- Bergman, P., & Moore, A. (1999). *Nolo's deposition handbook*. Berkeley, CA: Nolo Press.
- Blackman, J., Cascio, W., Ceci, S., Melton, G., & Miller, M. (1994). *Psychology in litigation and legislation*. Washington, DC: American Psychological Association.
- Brodsky, S. (1999). *The expert expert witness: More maxims and guidelines for testifying in court*. Washington, DC: American Psychological Association.
- Brodsky, S. (2004). *Coping with cross-examination and other pathways to effective testimony*. Washington, DC: American Psychological Association.
- Brodsky, S. (2013). *Testifying in court* (2nd ed.). Washington, DC: American Psychological Association.
- Caudill, O. B., & Pope, K. (1995). *Law & mental health professionals: California*. Washington, DC: American Psychological Association.
- Bush, S., Connell, M., & Denny, R. (2007). *Ethical practice in forensic psychology: A systematic model for decision making*. Washington, DC: American Psychological Association.
- DuBoff, L. (1993). *The law (in plain English) for health care professionals*. New York, NY: John Wiley & Sons, Inc.
- English, P., & Sales, B. (2005). *More than the law: Behavioral and social facts in legal decision-making*. Washington, DC: American Psychological Association.
- Gifis, S. (1996). *Law dictionary*. Newark, NJ: Barron's.
- Greenberg, L. R., & Gould, J.W. (2001). The treating expert: A hybrid role with firm boundaries. *Professional Psychology: Research & Practice*, 32, 469–478.
- Grisso, T. (1988). *Competency to stand trial evaluations: A manual for practice*. Sarasota, FL: Professional Resource Exchange, Inc.
- Gutheil, T., & Dattilio, (2007). *Practical approaches to mental health testimony*. New York, NY: Lippincott Williams & Wilkins.
- Heilbrun, K., Marczyk, G., & DeMatteo, D. (2002). *Forensic mental health assessment: A casebook*. Oxford, England: Oxford University Press.
- Lubet, S. (1998). *Expert testimony: A guide for expert witnesses and the lawyers who examine them*. Louisville, CO: National Institute for Trial Advocacy.
- Malone, D., & Zwier, P. (2001). *Expert rules: 100 (and more) points you need to know about expert witnesses*. Louisville, CO: National Institute for Trial Advocacy.
- Melton, G., Petrila, J., Poythress, N., & Slobogin, C. (1997). *Psychological evaluation for the courts*. New York, NY: Guilford.

- Sales, B., Miller, M., & Hall, S. (2005). *Laws affecting clinical practice*. Washington, DC: American Psychological Association.
- Sales, B., & Shuman, D. (2005). *Experts in court: Reconciling law, science, and professional knowledge*. Washington, DC: American Psychological Association.
- Simon, R., & Schuman, D. (2007) *Clinical Manual of Psychiatry and Law*. Arlington, VA: American Psychiatric Publishing, Inc.
- Ziskin, J. (1995). *Coping with psychiatric and psychological testimony* (5th ed.). Beverly Hills, CA: Law and Psychology Press.

General Ethics Texts

- Barnett, J., & Johnson, W. (2008). *Ethics desk reference for psychologists*. Washington, DC: American Psychological Association.
- Barnett, J., Zimmerman, J., & Walfish, S. (2014). *The ethics of private practice: A practical guide for mental health clinicians*. New York, NY: Oxford University Press.
- Beauchamp, T., & Childress, J. (2001). *Principles of biomedical ethics* (5th ed.). New York, NY: Oxford University Press.
- Bersoff, D. (2008). *Ethical conflicts in psychology* (4th ed.). Washington, DC: American Psychological Association.
- Brabeck, M. (2000). *Practicing feminist ethics in psychology*. Washington, DC: American Psychological Association.
- Bucky, S., Callan, J., & Stricker, G. (Eds.) (2005). *Ethical and legal issues for mental health professionals: A comprehensive handbook of principles and standards*. New York, NY: The Haworth Maltreatment & Trauma Press.
- Bush, S., Allen, R., & Molinari, A. (2017) *Ethical practice in geropsychology*. Washington, DC: American Psychological Association.
- Campbell, L., Vasquez, M., Behnke, S., & Kinscherff, R. (2010). *APA ethics code commentary and case illustrations*. Washington, DC: American Psychological Association.
- Fisher, C. (2003). *Decoding the ethics code: A practical guide for psychologists*. Thousand Oaks, CA: Sage.
- Ford, G. (2006). *Ethical reasoning for mental health professionals*. Thousand Oaks, CA: Sage.
- Haas, L. J., & Malouf, J. L. (1995). *Keeping up the good work: A practitioner's guide to mental health ethics*. Sarasota, FL: Professional Resource Exchange, Inc.
- Keith-Spiegel, P. (2014). *Red flags in psychotherapy: Stories of ethics complaints and resolutions*. New York, NY: Routledge.
- Kennedy, C., & Williams, T. (2010). *Ethical practice in operational psychology: Military and national intelligence applications*. Washington, DC: American Psychological Association.
- Kentsmith, D., & Salladon, S. (1986). *Ethics in mental health practice*. Orlando, FL: Grune and Stratton.
- Kitchener, K. S. (2000). *Foundations of ethical practice, research, and teaching in psychology*. Mahwah, NJ: Lawrence Erlbaum Associates.
- Knapp, S. J., Gottlieb, M., & Handelsman, M. (2015). *Ethical dilemmas in psychotherapy: Positive approaches to decision making*. Washington, DC: American Psychological Association.
- Knapp, S. J., & VandeCreek, L. (2003). *A guide to the 2002 revision of the American Psychological Association's ethics code*. Sarasota, FL: Professional Resources Press.
- Knapp, S. J., & VandeCreek, L. (2012). *Practical ethics for psychologists: A positive approach*. Second Edition. Washington, DC: American Psychological Association.

- Knapp, S. J. (Ed.). (2012). *APA handbook of ethics in psychology*. Washington, DC: American Psychological Association.
- Koocher, G., & Keith-Spiegel, P. (2008). *Ethics in psychology and the mental health professions*. London, England: Oxford University Press.
- Koocher, G., Norcross, J., & Hill, S. (1998). *Psychologists' desk reference*. New York, NY: Oxford University Press.
- Lakin, M. (1988). *Ethical issues in the psychotherapies*. New York, NY: Oxford University Press.
- Lindsay, G., Koene, C., Ovrerride, H., & Lang, Fredi. (2008). *Ethics for European psychologists*. Cambridge, MA: Hogrefe.
- Jacob-Timm, S., & Hartshorne, T. (1991). *Ethics and law for school psychologists*. New York, NY: John Wiley & Sons, Inc.
- Masters, W., Johnson, V, & Kolodny, R. (1977). *Ethical issues in sex therapy and research*. Boston, MA: Little, Brown & Co.
- Nagy, T. F. (2005). *Ethics in plain English: An illustrative casebook for psychologists* (2nd ed.). Washington, DC: American Psychological Association.
- Nagy, T. F. (2011). *Essential ethics for psychologists: A primer for understanding and mastering core issues*. Washington, DC: American Psychological Association.
- Norcross, J., Beutler, L., & Levant, R. (2005). *Evidence-based practice in mental health: Debate and dialogue on the fundamental questions*. Washington, DC: American Psychological Association.
- O'Donohue, W., & Cummings, N. (2007). *Evidence-based adjunctive treatments*. Amsterdam, the Netherlands: Elsevier.
- O'Donohue, W., & Ferguson, K. (2003). *Handbook of professional ethics for psychologists: Issues, questions, controversies*. Thousand Oaks: CA: Sage.
- Pryzwansky, W., & Wendt, P. (1999). *Professional and ethical issues in psychology: Foundations of practice*. New York, NY: W. W. Norton & Co.
- Roberts, L., & Dyer, A. (2004). *Concise guide to ethics in mental health care*. Arlington, VA: American Psychiatric Association.
- Small, R. F., & Barnhill, L. R. (1999). *Practicing in the new mental health marketplace: Ethical, legal, and moral issues*. Washington, DC: American Psychological Association.
- Sonne, J. L. (2012). *PsychEssentials: A pocket resource for mental health practitioners*. Washington, DC: American Psychological Association. (also an IOS app)
- Tjeltveit, A. (1999). *Ethics and values in psychotherapy*. New York, NY: Taylor and Francis.
- Vasquez, M., & Pope, K. (2007). *Ethics in psychotherapy and counseling: A practical guide*. New York, NY: Jossey-Bass.

Health Psychology

- Anderson, J., & Barret, B. (2001). *Ethics in HIV-related psychotherapy: Clinical decision making in complex cases*. Washington, DC: American Psychological Association.
- Hanson, T., Kerkhoff, T., & Bush, S. (2005). *Health care ethics for psychologists: A casebook*. Washington, DC: American Psychological Association.

Impaired Therapists

- Figley, C. R. (Ed.). (1995). *Compassion fatigue: Secondary traumatic stress from treating the traumatized*. New York, NY: Bruner/Mazel.
- Gutheil, T. G., & Brodsky, A. (2008). *Preventing boundary violations in clinical practice*. New York, NY: Guilford.

- Kilburg, R., Nathan, P., & Thoreson, R. (1986). *Professionals in distress: Issues, syndromes, and solutions in psychology*. Washington, DC: American Psychological Association.
- Norcross, J. C., & Guy, J. D. (2007). *Leaving it at the office: A guide to psychotherapist self-care*. New York, NY: Guilford.

Industrial/Organizational Psychology

- Kilburg, R. (2000). *Developing managerial wisdom in a world of chaos*. Washington, DC: American Psychological Association.
- Lefkowitz, J. (2003). *Ethics and values in industrial-organizational psychology*. Mahwah, NJ: Lawrence Erlbaum Associates.
- Lowman, R. (2006). *The ethical practice of psychology in organizations* (2nd ed.). Washington, DC: American Psychological Association.

Informed Consent

- Grisso, T., & Appelbaum P. S. (1998). *Assessing competence to consent to treatment: A guide for physicians and other health professionals*. New York, NY: Oxford University Press.
- Rozovsky, F. (2007). *Consent to treatment: A practical guide* (4th ed.). New York, NY: Aspen Publishers.
- Winnick, B. J. (1997). *The right to refuse mental health treatment*. Washington, DC: American Psychological Association.

Malpractice and Risk Management

- Bennett, B., Bryant, B., VandenBos, G., & Greenwood, A. (1990). *Professional liability and risk management*. Washington, DC: American Psychological Association.
- Lewis, S. (2016). *Legal and ethical issues for mental health clinicians: Best practices for avoiding litigation, complaints and malpractice*. Eau Claire, WI: Pes Publishing and Media.
- Shapiro, D., Walker, L., Manosevitz, M., Peterson, M., & Williams, M. (2008). *Surviving a licensing board complaint: What to do, what not to do...* Phoenix, AZ: Zeig, Tucker & Theisen.
- Shapiro, D. L., & Smith, S. R. (2011). *Malpractice in psychology: A practical resource for clinicians*. Washington, DC: American Psychological Association.
- Woods, M., & Star, J. (2007). *The power of apology in medicine*. Oak Park, IL: Doctors in Touch.
- Woody, R. (2013). *Legal self defense for mental health practitioners: Quality care and risk management strategies*. New York, NY: Springer.

Marriage and Family Therapy

- Gladding, S., Remley, T., & Huber, C. (2000) *Ethical, legal, and professional issues in the practice of marriage and family therapy* (3rd ed.). New York, NY: Macmillan.
- Marsh, D., & Magee, R. (1997). *Ethical and legal issues in professional practice with families*. New York, NY: John Wiley and Sons.
- Vesper, J., & Brock, G. (1991). *Ethics, legalities, and professional practice Issues in marriage and family therapy*. Boston, MA: Allyn & Bacon.
- Woody, R., & Woody, J. (2001). *Ethics in marriage and family therapy*. Birmingham, AL: Oxmoor House Publishers.

Military and National Security

- Kennedy, C., & Williams, T. (2011). *Ethical practice in operational psychology: Military and national intelligence applications*. Washington, DC: American Psychological Association.

Multiple Role Relationships: Conflict of Interest, Harassment, Exploitation

- Bates, C., & Brodsky, A. (1989). *Sex in the therapy hour: A case of professional incest*. New York, NY: Guilford.
- Conley, F. (1998). *Walking out on the boys*. New York, NY: Farrar, Straus and Giroux.
- Davis, M., & Robert S. (1988). *Lovers, doctors and the law: Your legal rights and responsibilities in today's sex health crisis*. New York, NY: Harper & Row.
- Ebert, B. (2006). *Multiple relationships and conflict of interest for mental health professionals: A conservative psycholegal approach*. Sarasota, FL: Professional Resource Press.
- Freeman, L., & Roy, J. (1976). *The true story of the first woman to successfully sue her psychiatrist for using sex in the guise of therapy*. New York, NY: Book World Promotions.
- Gabbard, G. (1989). *Sexual exploitation in professional relationships*. Washington, DC: American Psychiatric Press.
- Gabbard, G. (1994). Sexual misconduct. In J. Oldham & M. Riba (Eds.), *Review of Psychiatry* (Vol. 13, pp. 433–456). Washington, DC: American Psychiatric Press.
- Gutheil, T., & Brodsky, A. (2008) *Preventing boundary violations in clinical practice*. New York, NY: Guilford.
- Kerr, J. (1994). *A most dangerous method: The story of Jung, Freud, and Sabina Spielrein*. London, England: Vintage.
- Middlebrook, D. (1991). *Anne Sexton: A biography*. Boston, MA: Houghton Mifflin.
- Penfold, S. (1998). *Sexual abuse by health professionals: A personal search for meaning and healing*. Toronto, Ontario, Canada: University of Toronto.
- Pope, K. (1994). *Sexual involvement with therapists: Patient assessment, subsequent therapy, forensics*. Washington, DC: American Psychological Association.
- Pope, K., Sonne, J., & Greene, B. (2006). *What therapists don't talk about and why: Understanding taboos that hurt us and our clients*. Washington, DC: American Psychological Association.
- Pope, K., & Vasquez, J.T. (2011). *Ethics in psychotherapy and counseling: A practical guide for psychologists* (4th ed.). San Francisco, CA: Jossey-Bass.
- Richardson, S., & Cunningham, M. (2008). *Broken boundaries: Stories of betrayal in relationships of care*. Dubuque, IA: Witness Publishing Co.
- Rutter, P. (1989). *Sex in the forbidden zone: When men in power betray women's trust*. Los Angeles, CA: Fawcett.
- Schank, J., & Skovholt, T. (2006). *Ethical practice in small communities*. Washington, DC: American Psychological Association.
- Schoener, G., Milgram, J., Gonsiorek, J. Luepker, E., & Conroe, R. (1990). *Psychotherapists' sexual involvement with clients: Intervention and prevention*. Minneapolis, MN: Walk-In Counseling Center.
- Smith, E., Clance, P., & Imes, S. (2012). *Touch in psychotherapy*. New York, NY: Guilford.
- Walker, E., & Young, P. (1986). *A killing cure*. New York, NY: Henry Holt & Co.
- Wendling, T. (1999, December 5–7). When psychologists cross the line. *The Plain Dealer*. Retrieved from <http://www.cleveland.com/plaindealer>
- Zur, O. (2007). *Boundaries in psychotherapy: Ethical and clinical explorations*. Washington, DC: American Psychological Association.

Practice Management

- Barnett, J., & Walfish, S. (2011). *Billing and collecting for your mental health practice*. Washington, DC: American Psychological Association.

Record Keeping

- Kettenback, G. (1995). *Writing SOAP notes* (2nd ed.). Philadelphia, PA: F. A. Davis Company.
- Luepker, E. T. (2003). *Record keeping in psychotherapy and counseling*. New York, NY: Brunner-Routledge.
- Moline, M., Williams, G., & Austin, K. (1998). *Documenting psychotherapy: Essentials for mental health practitioners*. Thousand Oaks, CA: Sage.
- Wiger, D. E. (2005). *The clinical documentation sourcebook: The complete paperwork resource for your mental health practice* (3rd ed.). Somerset, NJ: John Wiley & Sons.
- Wolber, J., & Carne, W. (2002). *Writing psychological reports: A guide for clinicians* (2nd ed.). Sarasota, FL: Professional Resource Press.
- Zuckerman, E. (2003). *The paper office: Forms, guidelines, and resources to make your practice work ethically, legally, and profitably* (3rd ed.). New York, NY: Guilford.

Reproductive Medicine

- Horowitz, J., Galst, J., & Elster, N. (2012). *Ethical dilemmas in fertility counseling*. Washington, DC: American Psychological Association.

Research

- Buchanan, D., Fisher, C., & Gable, L. (2009). *Research with high-risk populations: Balancing science, ethics, and law*. Washington, DC: American Psychological Association.
- Coleman, C. H., Menikoff, J. A., Goldner, J. A., & Dubler, N. N. (2015). *The ethics and regulations of research with human subjects* (2nd ed.). New Providence, NJ: LexisNexis.
- Chastain, G., & Landrum, E. (1999). *Protecting human subjects: Departmental subject pools and institutional review boards*. Washington, DC: American Psychological Association.
- Cooper, H. (2016). *Ethical choices in research: Managing data, writing reports, and publishing results in the social sciences*. Washington, DC: American Psychological Association.
- Sales, B., & Folkman, S. (2000). *Ethics in research with human participants*. Washington, DC: American Psychological Association.
- Trimble, J., & Fisher, C. (2005). *The handbook of ethical research with ethnocultural populations and communities*. Thousand Oaks, CA: Sage.

Technology

- Aronson, J. (2000). *Use of the telephone in psychotherapy*. Northvale, NJ: Jason Aronson.
- Luxton, D. D., Nelson, E.-L., & Maheu, M. M. (2016). *A practitioner's guide to telemental health: How to conduct legal, ethical, and evidence-based telepractice*. Washington, DC: American Psychological Association.
- Maheu, M., Pulier, M., Wilhelm, F., McMenamin, J., & Brown-Connolly, N. (2005). *The mental health professional and the new technologies: A handbook for practice today*. Mahwah, NJ: Lawrence Erlbaum Associates.

Training and Supervision

- Falender, C. A., & Shafranske, E. P. (2004). *Clinical supervision: A competency based approach*. Washington, DC: American Psychological Association.
- Haynes, R., Corey, G., & Moulton, P. (2003). *Clinical supervision in the helping professions: A practical guide*. Pacific Grove, CA: Brooks/Cole-Thomson.

- Holloway, E. L. (2016). *Supervision essentials for a systems approach to supervision*. Washington, DC: American Psychological Association.
- Ladany, N., Friedlander, M. L., & Nelson, M. L. (2005). *Critical events in psychotherapy supervision: An interpersonal approach*. Washington, DC: American Psychological Association.
- Ladany, N., Friedlander, M. L., & Nelson, M. L. (2016). *Supervision essentials for the critical events in psychotherapy supervision model*. Washington, DC: American Psychological Association.
- Thomas, J. (2010). *The ethics of supervision and consultation: Practical guidance for mental health professionals*. Washington, DC: American Psychological Association.

Journals and Newspapers

- Ethics and Behavior* (Taylor and Francis)
- Law and Human Behavior* (Plenum)
- Professional Psychology: Theory, Research, Practice, Training* (APA)
- Psychology, Public Policy, and Law* (APA)
- The National Psychologist* (<http://www.nationalpsychologist.com>)

Internet

- <http://www.hhs.gov/ocr/hipaa> (U.S. Department of Health & Human Services and HIPAA information)

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